

DIVISION OF MINORITY AND WOMEN'S BUSINESS DEVELOPMENT

Annual Report Fiscal Year 2017-2018

The Division of Minority and Women's Business Development

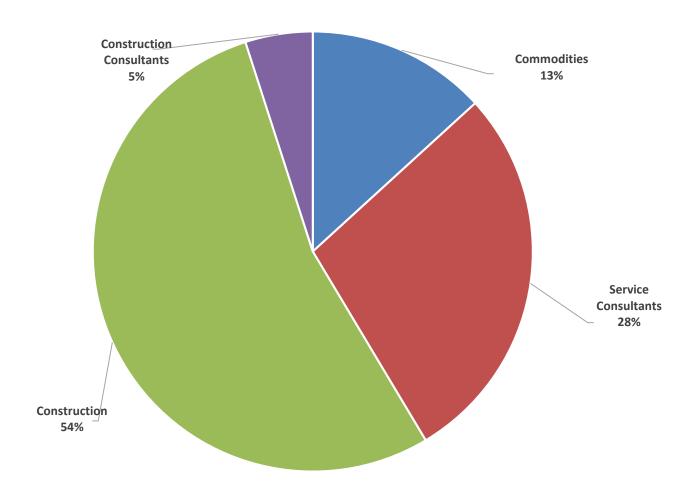
Executive Law Article 15-A (§§ 310-317) governs requirements for the participation of minority- and women-owned business enterprises ("MWBEs"), minority group members and women in New York State contracting. The objective of Article 15-A is to promote equality of economic opportunities for MWBEs, minority group members and women, and to eliminate barriers to their participation in state contracting.

The Division of Minority and Women's Business Development ("DMWBD" or the "Division") oversees the statewide MWBE program operated by "state agencies" (which includes, inter alia, state agencies and public authorities) as defined by Article 15-A. The Division is charged with three primary functions: (1) to encourage and assist state agencies that are engaged in contracting activities to award a fair share of state contracts to MWBEs; (2) to review applications by businesses seeking certification as an MWBE and to maintain a directory of certified MWBEs; and (3) to promote the business development of MWBEs through education and outreach to agencies and MWBEs.

MWBE Utilization

	FY2017-2018
Statewide MWBE Utilization percentage ¹	28.62%
Total agency and authority spending ¹	\$8,938,652,919
Total MWBE Utilization ¹	\$2,558,668,960
Total Minority Business Enterprise (MBE) Utilization ¹	\$1,193,199,487
Total Women Business Enterprise (WBE) Utilization ¹	\$1,365,469,473

Breakdown of Utilization of MWBEs by Industry²



Waiver and Violation Summary FY2017-2018

Number of Waivers Requested:	1,052
Number of Waivers Granted:	985°
Number of Contract Violations:	30 ^b
Monetary Sanctions/Damages from Violations:	\$715,298

^a Waivers were granted due to a lack of MWBE firms available to meet goals

^b Due to contractor failure to meet good faith standard

FY2017-2018 MWBE Utilization

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Adirondack Park Agency	48,503.00	5,685.85	25,074.05	30,759.90	63.42%
Agriculture and Markets	3,058,019.00	67,259.02	1,035,286.38	1,102,545.40	36.05%
Agriculture and New York State Horsebreeding Development	80,255.00	11,200.65	17,162.32	28,362.97	35.34%
Albany County Airport Authority	5,680,575.00	185,322.32	1,270,943.05	1,456,265.37	25.64%
Albany Port District Commission	968,608.00	9,495.76	297,067.49	306,563.25	31.65%
Alcoholism and Substance Abuse Services	4,821,116.00	637,990.54	1,918,815.24	2,556,805.78	53.03%
Battery Park City Authority	28,383,085.00	3,553,659.33	5,242,467.52	8,796,126.85	30.99%
Bridge Authority	18,239,293.00	2,387,308.10	2,147,152.06	4,534,460.16	24.86%
Buffalo Fiscal Stability Authority	2,948.00	881.26	215.09	1,096.35	37.19%
Capital District Transportation Authority	9,215,368.00	2,370,488.51	995,934.73	3,366,423.24	36.53%
Cayuga County Water & Sewer Authority	51,506.00	0.00	320.70	320.70	0.62%
City University Construction Fund	158,982,676.00	36,862,301.86	14,477,330.48	51,339,632.34	32.29%
City University of New York	39,053,571.47	5,171,199.24	7,619,897.90	12,791,097.14	32.75%
CNY Regional Transportation	5,354,001.00	86,922.33	1,973,834.93	2,060,757.26	38.49%
Council on the Arts	284,496.00	78,143.71	84,001.31	162,145.02	56.99%
Department of Civil Service	788,015.00	55,216.96	133,069.54	188,286.50	23.89%
Department of Corrections and Community Supervision	41,815,581.64	6,556,504.03	4,784,717.65	11,341,221.68	27.12%
Department of Economic Development	1,980,546.82	6,266.90	792,112.64	798,379.54	40.31%
Department of Environmental Conservation	85,371,729.32	1,507,768.23	15,478,943.11	16,986,711.34	19.90%
Department of Financial Services	1,965,307.00	432,534.31	695,700.27	1,128,234.58	57.41%
Department of Health	424,136,424.00	28,620,475.14	121,918,163.47	150,538,638.61	35.49%
Department of Labor	2,163,790.00	865,005.58	431,054.98	1,296,060.56	59.90%
Department of Motor Vehicles	9,300,666.00	3,010,386.23	596,834.67	3,607,220.90	38.78%
Department of Public Service	1,235,143.00	359,330.09	114,365.16	473,695.25	38.35%
Department of State	8,458,893.00	1,849,091.74	2,683,904.46	4,532,996.20	53.59%
Department of Taxation and Finance	2,564,552.00	548,677.41	356,628.20	905,305.61	35.30%
Development Authority of North Country	6,227,122.00	642,553.36	1,334,572.21	1,977,125.57	31.75%
Division of Alcoholic Beverage Control	46,506.00	8,616.62	4,724.47	13,341.09	28.69%
Division of Budget	655,539.00	67,318.25	197,369.31	264,687.56	40.38%
Division of Criminal Justice Services	1,837,081.00	466,497.17	1,036,953.89	1,503,451.06	81.84%
Division of Housing & Community Renewal	68,969,459.00	8,994,349.22	11,946,539.48	20,940,888.70	30.36%
Division of Human Rights	229,564.00	104,940.22	8,276.50	113,216.72	49.32%
Division of Military and Naval Affairs	2,401,535.00	351,941.95	448,565.14	800,507.09	33.33%
Division of State Police	4,808,815.00	327,432.43	1,974,181.28	2,301,613.71	47.86%
Dormitory Authority of the State of New York	588,388,644.80	108,922,666.78	65,468,744.99	174,391,411.77	29.64%

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Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Education Department	79,340,694.00	7,203,453.63	17,747,808.06	24,951,261.69	31.45%
Empire Center at the Egg	15,578.00	0.00	3,452.55	3,452.55	22.16%
Empire State Development	857,225,177.00	86,430,567.43	126,030,579.71	212,461,147.14	24.78%
Energy Research & Development Authority, NYS	23,626,438.00	6,377,393.30	6,794,120.04	13,171,513.34	55.75%
Environmental Facilities Corporation	6,739,283.00	1,748,700.97	548,585.60	2,297,286.57	34.09%
Erie County Fiscal Stability Authority	567,264.00	73,543.00	78,870.85	152,413.85	26.87%
Erie County Medical Center	12,342,669.00	1,674,750.20	2,337,462.91	4,012,213.11	32.51%
Executive Chamber	222,089.00	69,791.85	20,394.81	90,186.66	40.61%
Gaming Commission	158,114,366.79	19,252,660.39	25,438,668.16	44,691,328.55	28.27%
Governor's Office of Employee Relations	58,834.00	35,924.30	806.93	36,731.23	62.43%
Higher Education Services Corporation	438,463.00	16,383.04	149,042.09	165,425.13	37.73%
Homeland Security	4,213,175.00	1,280,066.92	680,767.20	1,960,834.12	46.54%
Housing Finance Agency	505,341,680.00	123,841,769.66	83,137,036.47	206,978,806.13	40.96%
Hudson River Black River Regulating District	508,623.00	47,284.75	36,476.85	83,761.60	16.47%
Hudson River Park Trust	9,966,557.00	1,837,405.74	2,308,030.75	4,145,436.49	41.59%
Insurance Fund	85,715,163.00	9,438,775.88	19,816,828.31	29,255,604.19	34.13%
Jacob K. Javits Convention Center	19,188,891.94	2,206,152.93	3,554,114.56	5,760,267.49	30.02%
Joint Commission on Public Ethics	39,018.00	7,639.73	13,699.50	21,339.23	54.69%
Justice Center for the Protection of People with Special Needs	1,400,304.00	610,065.25	579,841.75	1,189,907.00	84.97%
Livingston County Water & Sewer Authority	6,442,502.00	762,540.60	1,002,689.66	1,765,230.26	27.40%
Long Island Power Authority	81,105,538.00	8,028,224.61	22,980,615.42	31,008,840.03	38.23%
Metropolitan Transportation Authority	2,409,441,143.00	329,825,502.93	303,984,430.81	633,809,933.74	26.31%
Nassau County Interim Finance Authority	1,774.00	942.08	0.00	942.08	53.10%
Nassau Health Care Corporation	22,900,260.00	38,468.00	3,019,802.43	3,058,270.43	13.35%
Natural Heritage Trust	1,711,903.00	2,180.71	364,710.42	366,891.13	21.43%
New York Power Authority	350,915,563.00	36,957,422.00	26,983,182.00	63,940,604.00	18.22%
New York State Department of Transportation	336,256,106.20	26,748,157.21	34,828,904.91	61,577,062.12	18.31%
New York State Thoroughbred Breeding and Development Fund	162,936.00	369.64	66,931.59	67,301.23	41.31%
Niagara Falls Water Board	1,268,158.00	10,800.00	94,445.28	105,245.28	8.30%
Niagara Frontier Transportation Authority	14,055,324.00	1,454,209.85	2,989,992.61	4,444,202.46	31.62%
Office for the Prevention of Domestic Violence	86,404.00	4,848.41	46,489.21	51,337.62	59.42%
Office for Victim Services	58,092.00	18,696.82	9,468.48	28,165.30	48.48%
Office of Aging	1,141,017.12	239,631.90	304,373.61	544,005.51	47.68%
Office of Children & Family Services	25,567,178.05	7,901,638.50	4,433,352.23	12,334,990.73	48.25%
Office of General Services	502,384,109.09	75,102,576.94	113,567,441.87	188,670,018.81	37.55%
Office of Information Technology Services	199,696,280.00	29,018,317.00	33,029,003.66	62,047,320.66	31.07%
Office of Mental Health	47,401,932.00	11,139,284.33	7,077,362.25	18,216,646.58	38.43%

Agency Name	Agency Expenditure	MBE Utilization	WBE Utilization	MWBE Utilization	MWBE %
Office for People With Developmental Disabilities	40,586,622.00	7,000,462.12	6,470,717.81	13,471,179.93	33.19%
Office of Temporary and Disability Assistance	99,153,099.00	14,500,791.75	20,519,149.18	35,019,940.93	35.32%
Office of the Inspector General	176,031.00	13,851.93	63,159.85	77,011.78	43.75%
Office of the Medicaid Inspector General	997,264.00	369,634.23	286,875.87	656,510.10	65.83%
Office of the Welfare Inspector General	2,400.00	565.08	1,046.63	1,611.71	67.15%
Ogdensburg Bridge and Port Authority	4,625,595.00	6,290.95	1,185,697.58	1,191,988.53	25.77%
Olympic Regional Development Authority	2,818,130.00	13,105.95	922,590.49	935,696.44	33.20%
Parks, Recreation & Historic Preservation	166,336,467.00	16,731,192.94	22,018,494.91	38,749,687.85	23.30%
Port of Oswego Authority	124,475.00	0.00	40,101.28	40,101.28	32.22%
Public Employment Relations Board	26,121.00	2,764.10	6,326.34	9,090.44	34.80%
Rochester Genesee Regional Transportation Authority	1,931,766.00	650,469.75	579,861.16	1,230,330.91	63.69%
Roosevelt Island Operating Corporation	8,511,273.00	1,000,781.73	2,018,555.02	3,019,336.75	35.47%
Roswell Park Cancer Institute	31,172,816.72	6,487,046.25	5,645,533.84	12,132,580.09	38.92%
Schenectady Metroplex Development Authority	3,945,420.00	547,192.71	1,391,878.79	1,939,071.50	49.15%
State Board of Elections	1,520,119.41	311,015.88	187,784.49	498,800.37	32.81%
State Commission on Correction	4,576.00	2,506.54	82.88	2,589.42	56.59%
State University Construction Fund	431,102,882.23	46,433,439.75	61,765,754.63	108,199,194.38	25.10%
State University of New York Central	524,171,002.24	67,835,500.77	102,260,330.48	170,095,831.25	32.45%
Syracuse Regional Airport Authority	3,581,077.00	851,921.86	434,077.68	1,285,999.54	35.91%
Thruway Authority/Canal Corp	223,041,382.00	13,690,194.08	13,785,631.32	27,475,825.40	12.32%
United Nations Development Corporation	18,185,297.00	3,648,429.91	942,083.10	4,590,513.01	25.24%
Upper Mohawk Valley Water Authority	586,369.00	0.00	107,965.87	107,965.87	18.41%
Veterans Affairs	145,873.72	22,834.23	39,267.87	62,102.10	42.57%
Westchester County Health Care Corporation	70,891,721.00	6,566,784.78	7,595,415.55	14,162,200.33	19.98%
Workers Compensation Board	11,785,718.00	1,981,138.12	5,630,382.42	7,611,520.54	64.58%

 $^{^{\}mathbf{1}}$ Utilization Reporting - Utilization and Expenditure Summary

² Utilization Reporting - Agency Utilization Summary